

UK Transparency Report 2021
PricewaterhouseCoopers LLP
Registered number: OC303525

Finances and other disclosures – PwC UK audit registrations

This forms part of our FY21 Transparency Report

- Firmwide governance
- Independence and ethics
- People
- Audit quality
- Our Audit methodology
- Finances and other disclosures**
 - Financial information
 - **PwC UK audit registrations**
 - List of major local audit and Public Interest Entity audits performed by PwC UK
 - List of EEA member state audit firms in the PwC Network
 - Glossary of terms
 - Compliance mappings



Finances and other disclosures

PwC UK audit registrations

PwC UK Registrations

PwC UK and/or certain of its responsible individuals are registered with regulators in the following territories in order to meet local requirements in relation to the audits of certain entities:

- Canada (Canadian Public Accountability Board);
- Guernsey (Guernsey Registry);
- Hong Kong (Hong Kong Financial Reporting Council);
- Japan (Japanese Financial Services Agency);
- Jersey (Jersey Financial Services Commission);
- Kazakhstan (Kazakhstan Stock Exchange's JSC ('KASE'));)
- New Zealand (New Zealand Companies Office);

- Norway (Finanstilsynet);
- South Africa (the Johannesburg Stock Exchange);
- The Isle of Man (Isle of Man Financial Services Authority); and
- United States of America (Public Company Accounting Oversight Board).

Additionally to the above, PwC UK is currently in the process of registering as a third country auditor in a number of EU territories following the end of PwC UK's status as a statutory auditor in Ireland on 30 June 2021.

During the year ended 30 June 2021, the UK firm performed the following audits that necessitate these registrations, either because of the entity's country of incorporation, their filings made in a given territory, or a listing of debt or equity within a given territory:

Abcam PLC	Goldman Sachs International	Perspectum Group Ltd
Acacia Pharma Group PLC	Grit Real Estate Income Group Limited	Sanne Group PLC
Accsys Technologies PLC	Hammerson PLC	Santander UK Group Holdings PLC
Advanz Pharma Corp. Limited	HSBC Bank PLC	Santander UK PLC
AstraZeneca PLC	HSBC Holdings PLC	SDX Energy PLC
Bicycle Therapeutics PLC	Innospec, Inc	Seadrill Limited
Borr Drilling Limited	J.P. Morgan Mansart Management Limited	Seadrill Partners LLC
Centamin PLC	Janus Capital Trust Manager Limited	Sedibelo Platinum Mines Limited
Compass Pathways PLC	Johnson Matthey plc	Segro PLC
Credit Suisse International	Lloyds Bank PLC	Silence Therapeutics PLC
Delamare Finance PLC	Lloyds Banking Group plc	Stolt-Nielsen Limited
Diageo PLC	Luxfer Holdings PLC	Summit Therapeutics PLC
F-Star Therapeutics, Inc	Macquarie Internationale Investments Limited	TechnipFMC PLC
Farfetch Limited	Manchester United PLC	Telereal Securitisation PLC
Genel Energy Finance No.4 PLC	Nomad Foods Ltd	The University of Greenwich
Genel Energy PLC	Pan African Resources PLC	Verona Pharma PLC
Goldman Sachs Asset Management Global Services Limited	Pearson PLC	Western Asset Management Company Limited
Goldman Sachs Finance Corp International Limited		Wizz Air Holdings PLC



As a requirement of these registrations, PwC UK is subject to monitoring by the respective regulatory authorities. Details of these monitoring activities are included in the Audit Quality section of this report.

As a result of these registrations, our policies and procedures have been designed and implemented to ensure that we comply, and that we can demonstrate compliance, with not only the Audit Regulations of the ICAEW, but also with the policies and regulations of other regulators with which PwC UK is registered.

The policies and procedures that form our internal quality controls systems have been documented, and are embedded as part of the firm's day-to-day activities. There is a monitoring regime in place to enable the Management Board to review the extent to which these policies and procedures are operating effectively and to respond to issues that arise. For further information on this monitoring regime, please refer to the Audit Quality of this report.

