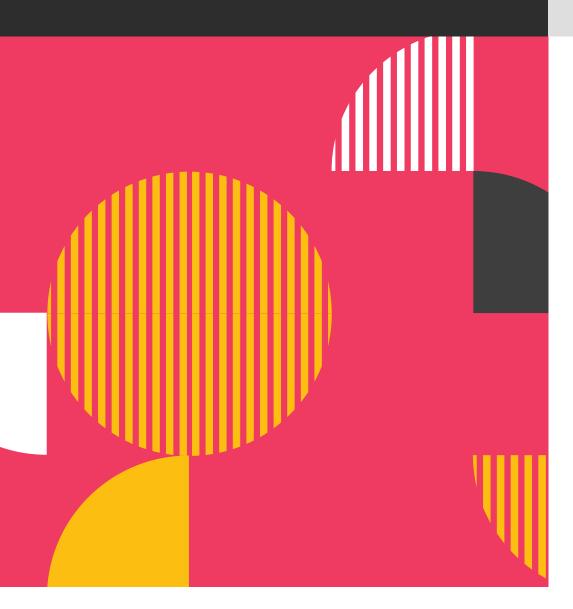
UK Transparency Report 2021 PricewaterhouseCoopers LLP Registered number: OC303525

## Finances and other disclosures – PwC UK audit registrations

This forms part of our FY21 Transparency Report



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## Finances and other disclosures

**PwC UK audit registrations** 



## **PwC UK Registrations**

PwC UK and/or certain of its responsible individuals are registered with regulators in the following territories in order to meet local requirements in relation to the audits of certain entities:

- Canada (Canadian Public Accountability Board);
- Guernsey (Guernsey Registry);

Abcam PLC

- Hong Kong (Hong Kong Financial Reporting Council);
- Japan (Japanese Financial Services Agency);
- Jersey (Jersey Financial Services Commission);
- Kazakhstan (Kazakhstan Stock Exchange's JSC ('KASE'));
- New Zealand (New Zealand Companies Office);

- Norway (Finanstilsynet);
- South Africa (the Johannesburg Stock Exchange);
- The Isle of Man (Isle of Man Financial Services Authority); and
- United States of America (Public Company Accounting Oversight Board).

Additionally to the above, PwC UK is currently in the process of registering as a third country auditor in a number of EU territories following the end of PwC UK's status as a statutory auditor in Ireland on 30 June 2021.

During the year ended 30 June 2021, the UK firm performed the following audits that necessitate these registrations, either because of the entity's country of incorporation, their filings made in a given territory, or a listing of debt or equity within a given territory:

•••••••••••••••••••••••••••••••••••••••
Acacia Pharma Group PLC
Accsys Technologies PLC
Advanz Pharma Corp. Limited
AstraZeneca PLC
Bicycle Therapeutics PLC
Borr Drilling Limited
Centamin PLC
Compass Pathways PLC
Credit Suisse International
Delamare Finance PLC
Diageo PLC
F-Star Therapeutics, Inc
Farfetch Limited
Genel Energy Finance No.4 PLC
Genel Energy PLC
Goldman Sachs Asset Management Global Services Limited
Goldman Sachs Finance Corp International Limited

Goldman Sachs International
Grit Real Estate Income Group Limited
Hammerson PLC
HSBC Bank PLC
HSBC Holdings PLC
Innospec, Inc
J.P. Morgan Mansart Management Limited
Janus Capital Trust Manager Limited
Johnson Matthey plc
Lloyds Bank PLC
Lloyds Banking Group plc
Luxfer Holdings PLC
Macquarie Internationale Investments Limited
Manchester United PLC
Nomad Foods Ltd
Pan African Resources PLC
Pearson PLC

Perspectum Group Ltd
Sanne Group PLC
Santander UK Group Holdings PLC
Santander UK PLC
SDX Energy PLC
Seadrill Limited
Seadrill Partners LLC
Sedibelo Platinum Mines Limited
Segro PLC
Silence Therapeutics PLC
Stolt-Nielsen Limited
Summit Therapeutics PLC
TechnipFMC PLC
Telereal Securitisation PLC
The University of Greenwich
Verona Pharma PLC
Western Asset Management Company Limited
Wizz Air Holdings PLC



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As a requirement of these registrations, PwC UK is subject to monitoring by the respective regulatory authorities. Details of these monitoring activities are included in the Audit Quality section of this report.

As a result of these registrations, our policies and procedures have been designed and implemented to ensure that we comply, and that we can demonstrate compliance, with not only the Audit Regulations of the ICAEW, but also with the policies and regulations of other regulators with which PwC UK is registered. The policies and procedures that form our internal quality controls systems have been documented, and are embedded as part of the firm's day-to-day activities. There is a monitoring regime in place to enable the Management Board to review the extent to which these policies and procedures are operating effectively and to respond to issues that arise. For further information on this monitoring regime, please refer to the Audit Quality of this report.



